

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

File's Docket Number
02-1.1596
U.S. Application No.
09/077,194

International Application. No.	International Filing Date	Priority Date Claimed
PCT/EP97/05070	September 16, 1997	September 27, 1996

Title of Invention:

USE OF 1-HYDROXY-2-PYRIDONES FOR THE TREATMENT OF SEBORRHEIC DERMATITIS

Applicant(s) For DO/EO/US:

Manfred BOHN, Karl Theodor KRAEMER and Astrid MARKUS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☐ Verified Small Entity Statement.
 - b. ☒ Copy of Notification of Missing Requirements.

U.S. APPLICATION NO.
09/077,194

INTERNATIONAL APPLICATION NO.
PCT/EP97/05070

ATTORNEY DOCKET NUMBER
02, 31,1596

17. [] The following fees are submitted:

CALCULATIONS

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO.....\$930.00

International preliminary examination fee paid to

USPTO (37 CFR 1.482).....\$720.00

No international preliminary examination fee paid to

USPTO (37 CFR 1.482) but international search fee

paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00

Neither international preliminary examination fee

(37 CFR 1.482) nor international search fee

(37 CFR 1.445(a)(2)) paid to USPTO.....\$1,070.00

International preliminary examination fee paid to USPTO

(37 CFR 1.482) and all claims satisfied provisions

of PCT Article 33(1)-(4).....\$ 98.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$

Surcharge of \$130.00 for furnishing the oath or declaration later than

[X] 20 [] 30 months from the earliest claimed priority date

(37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate	
Total Claims	18 -20=		X \$22.00	\$
Independent Claims	3 - 3=		X \$82.00	\$
Multiple dependent claim(s) (if applicable)			+\$270.00	\$

TOTAL OF ABOVE CALCULATIONS =

\$130.00

Reduction by 1/2 for filing by small entity, if applicable. Verified

Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$130.00

Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date

(37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$130.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet

(37 CFR 3.28, 3.31).

\$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$130.00

Amount to be

refunded \$

charged \$

a. [X] A check in the amount of \$130.00 to cover the above fees is enclosed.

b. [] Please charge my Deposit Account No. _____ in the amount of

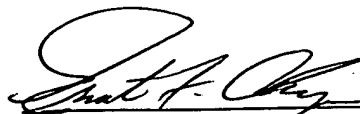
\$ _____

to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any other fees due under 37 C.F.R. \$1.16 or \$1.17 during the pendency of this application to our Deposit Account No. 06-0916.

SEND ALL CORRESPONDENCE TO:
Finnegan, Henderson, Farabow
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315
EFC/FPD/rgm


Ernest F. Chapman
Reg. No. 25,961

Submitted: December 4, 1998

FPD / 050

PLEASE STAMP AND RETURN TO SHOW RECEIPT OF:

National Stage of International Application No. PCT/EP97/05070
under 35 U.S.C. 371.

For: USE OF 1-HYDROXY-2-PYRIDONES FOR THE TREATMENT OF
SEBORRHEIC DERMATITIS

Serial No.: 09/077,194

Inventor(s): Manfred BOHN, Karl Theodor KRAEMER and
Astrid MARKUS

BOX PCT

1. Transmittal Letter concerning a filing under 35 U.S.C. 371. (Duplicate)
2. Copy of Notification of Missing Requirements.
3. Declaration of the inventors.
4. Information Disclosure Statement and Information Disclosure Citation, PTO 1449 with 16 documents attached.
5. Check for \$130.00.

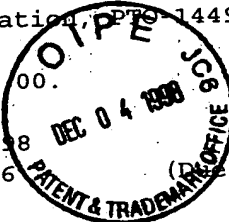
DATE: December 4, 1998

CASE REF: 02481.1596

TLI/FPD/rgm

DEC 4 1998

Date: December 16, 1998)



Handwritten initials and date: 12/16/98

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Manfred BOHN, Karl Theodor KRAEMER and
Astrid MARKUS

Serial No.: 09/077,194

Filed: May 26, 1998

For: USE OF 1-HYDROXY-2-PYRIDONES FOR THE TREATMENT OF
SEBORRHEIC DERMATITIS

BOX ASSIGNMENT

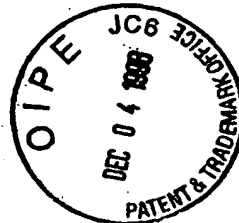
1. Recordation Form Cover Sheet
2. Assignment to HOECHST AKTIENGESSELLSCHAFT
3. Check for \$40.00

Dated: December 4, 1998

CASE REF: 02481.1596

TLI/FPD/rgm

DEC 4 1998



Handwritten initials and date: 12/17/98